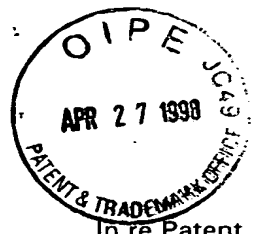


SECTOR#



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	Group Art Unit: 1621
Robert H. Grubbs, <i>et al.</i>	)	Examiner: P. Nazario-Gonzalez
Appln. No. 09/007,498	)	
Filed: January 15, 1998	)	<b>RESPONSE TO NOTICE TO FILE</b>
	)	<b>MISSING PARTS OF APPLICATION</b>
For: HIGH METATHESIS ACTIVITY	)	
RUTHENIUM AND OSMIUM METAL	)	2001 Ferry Building
CARBENE COMPLEXES	)	San Francisco, CA 94111
	)	415/433-4150

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on April 22, 1998.

LIMBACH & LIMBACH L.L.P.

Date: 04/22/98

Box Missing Parts  
Assistant Commissioner  
for Patents  
Washington, DC 20231

By: Pat Floris  
Name: Pat Floris

Sir:

In response to the Notice to File Missing Parts of Application mailed April 9, 1998 (copy enclosed), Applicants submit a check in the amount of \$525.00 to cover the \$130.00 large entity surcharge for filing missing parts of an application, and the deficiency in the basic filing fee of \$395.00.

The Commissioner is hereby authorized to charge payment of any **additional** fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate of this sheet is enclosed.

Respectfully submitted,

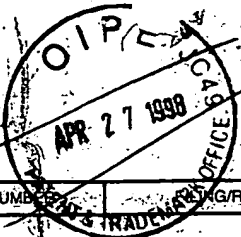
LIMBACH & LIMBACH L.L.P.

April 22, 1998  
(Date)

By: W. Patrick Bengtsson  
W. Patrick Bengtsson  
Registration No. 32,456

CTCH-1630  
(CIT-2123-4B1)

Attorneys for Applicant(s)



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	--------------------	-----------------------	---------------------------

09/007,498 01/15/98 GRUBBS

R BICH1630

0232/0409

PATRICK BENGTSSON  
LIMBACH & LIMBACH  
2001 FERRY BUILDING  
SAN FRANCISCO CA 94111

NOT ASSIGNED

DATE MAILED:

04/09/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 395.00

☒ 1. The statutory basic filing fee is:

☐ missing  
☒ insufficient.

Applicant must submit \$ 395.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for independent claims over 3.

\$ \_\_\_\_\_ for dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Limbach & Limbach LLP  
San Francisco, California

CHECK #:

130597

DATE	REFERENCE/DESCRIPTION	INVOICE AMOUNT	DISCOUNT TAKEN	NET CHECK AMOUNT
04/22/98	CTCH-1630-A LE FEE/MISSING PARTS	525.00	.00	525.00
TOTALS		525.00	.00	525.00

DETACH AND RETAIN THIS STATEMENT



**LIMBACH & LIMBACH**  
L.L.P.

2001 Ferry Building  
San Francisco, California 94111-4262  
415/433-4150

UNION BANK OF CALIFORNIA  
SAN FRANCISCO MAIN  
400 CALIFORNIA STREET  
SAN FRANCISCO, CA 94104

11-49/1210

DATE

4/22/1998

CHECK NO.

130597

CHECK AMOUNT

\*\*\*\*\*525.00

FIVE HUNDRED TWENTY FIVE AND 00/100 DOLLARS

PAY TO THE  
ORDER OF

COMMISSIONER OF PATENTS  
AND TRADEMARKS

09/007,498

TWO SIGNATURES REQUIRED IF AMOUNT IS OVER \$50,000.

*Maurice S. Cepeda*

⑈ 130597 ⑈ 1210004971270004586 ⑈